LINKAGE PROJECTS

Instructions to Applicants for funding applied for in 2021
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1. Introduction

The Linkage Projects Instructions to Applicants for funding applied for in 2021 (hereafter referred to as the Instructions) provides information to participants on how to complete and electronically submit a Linkage Projects application for funding applied for in 2021 (LP21).

The completed application form, including PDF attachments, must comply with the Grant Guidelines for the Linkage Program (2019 edition), Linkage Projects (grant guidelines). The information in this document is underpinned by the grant guidelines. You should read the grant guidelines on the GrantConnect website before preparing the application.

2. Before completing the application form

For general instructions on how to use the Research Management System (RMS), refer to the User Guides (RMS User Management Guide and Submitting an Application in RMS) available on the ARC website.

2.1 RMS User Profile and auto-populating Research Outputs

Ensure the Personal Details, Qualifications and Employment History sections of the participant’s RMS Person Profile contain up-to-date information, as some of these details will be auto-populated into the application form.

Research outputs (including preprints or comparable resources) can be added to a user’s profile through any of the following methods:

- Link an RMS account with an ORCID (Open Researcher and Contributor ID) account and import the research outputs from the Works section of the user’s ORCID profile;
- Add a research output citation by using a valid Digital Object Identifier (DOI);
- Upload a BibTeX file to RMS and perform a bulk upload of the research outputs contained within it; or
- Manually create an individual record per research output within the RMS user profile.

**Note:** RMS will not prevent the entry of duplicate records and it is the responsibility of applicants to manage this. Users will have the flexibility to choose research outputs for listing in their grant applications.

Preprints or comparable resources must only be entered manually as an additional research output. They should be explicitly identified by including [PREPRINT OR COMPARABLE] at the end of the reference. See Appendix E which includes the definition of preprints or comparable resources and details on how to add these outputs into your RMS profile.

For instructions on how to add your ORCID to your RMS profile, refer to Appendix A. For instructions on how to add research outputs to your profile in RMS, refer to the User Guide: Research Outputs in RMS—Instructions for adding Research Outputs to your RMS Profile available on the ARC website.
2.2 Accuracy of Information

Carefully check that all information contained in the application is accurate prior to submission, as you cannot make changes once the application form is submitted and the application period has closed.

Format requirements for uploaded PDFs are provided in Appendix B.

The inclusion of webpage addresses/URLs and hyperlinks is only permitted under certain circumstances such as publications that are only available online (such as preprints and comparable resources) and letters of support. Webpage addresses/URLs and hyperlinks should not be used to circumvent page limits, nor should they provide information that is not contained in the application. All information relevant to the application must be contained within the application.

For Administering Organisation internal checking purposes, information regarding how many current applications and projects a participant holds is available at question F9.

2.3 Key Documents

Key documents for LP21 are available on GrantConnect.

2.4 Key Dates

Refer to the Grants Calendar and Important Dates for ARC Grant Application Process on the ARC website for key calendar, important dates and updates relevant to the grant guidelines, including closing dates for ‘Request Not to Assess’, application submission and rejoinder.

There will be three assessment rounds for applications for Linkage Projects for funding applied for in 2021. Draft applications will carry over in RMS between rounds (e.g. a draft application started during assessment Round 1 can be submitted in assessment Round 2 or Round 3 without the need to restart the application).

LP21 draft applications will not carry over into future Linkage Projects scheme rounds (e.g. funding applied for in 2022), however applicants will continue to have access in RMS to draft applications from previous scheme rounds, allowing previous content to be accessible.

2.5 Research Office – Further Application Assistance/Guidance

Contact the Research Office in the first instance if you have any queries regarding ARC funding schemes and questions on how to complete an application form. The Research Office should be able to answer any questions you might have and can seek clarification from the ARC if necessary.

2.6 Eligibility in RMS

To assist applicants, RMS has automated eligibility checking for key requirements as noted below. You will still be responsible for confirming all other eligibility requirements have been met by all participants.

The application form will prevent an Administering Organisation from submitting an application where any participant has an overdue Final Report for any ARC-funded projects. You will be able to see the Project ID where there is an overdue Final Report and the form part will be ‘invalid’.
Where project and application limits have been breached, the relevant part of the application form will be invalid and submission to the ARC will not be possible. A participant on a Linkage Projects application must meet the project limit requirements at the application submission date.

Important: The ability to submit a valid application form to the ARC does not mean that participants have met all eligibility requirements. You will still need to ensure that comprehensive checks have been made so that participants comply with the eligibility requirements set out in the grant guidelines.

2.7 Application Certification

The application form must be certified and submitted online through RMS by an authorised officer of the Administering Organisation.

The authorised officer must have the role of ‘Research Office Delegate’ in RMS. Only the Administering Organisation can certify and submit applications online.

The Administering Organisation must obtain the written agreement of all relevant participants (persons and organisations), to allow the proposed project to proceed as specified in the grant guidelines. This excludes the employing organisations of overseas Partner Investigators (PIs) and any participant’s current organisation which is not their relevant organisation for the application. This written evidence must be retained by the Administering Organisation and must be provided to the ARC if requested.

Note: A certification pro forma for obtaining written evidence is available on GrantConnect. However, the use of the ARC certification pro forma is not mandatory. The Administering Organisation may determine the format for written evidence.

3. Creating a new application in RMS

To create a new application:

- Login to RMS

- Select Linkage Projects 2021 from the drop down list and click on ‘Create Draft Application’.

4. Completing the application form

There are seven Parts (A-G) in the LP21 application form:

A) Administrative Summary
B) Classifications and Other Statistical Information
C) Project Eligibility
D) Project Description
E) Project Cost
F) Participant Details including ROPE (This section will not appear until the participant has been added/accepted in Part A.)
G) Partner Organisation Details (This section will not appear until a Partner Organisation has been added in Part A.).

When the application has been created the application form parts will be displayed at the top of the screen. The colour of these parts will be red indicating that the part is incomplete (invalid). When the application form parts have been completed, they will turn green (valid).

Click on the relevant form part at the top of the screen to navigate between form parts (Part A to Part G).

Click on Part A to start filling in the application form.

RMS does not autosave your application, it is important to periodically save all changes. The ‘Save’ button is located at the top of the page next to the Adobe PDF file icon:

![Save button]

Note:
- In many cases, further help text is provided within the form to assist in completing questions. To access this information click on the icon.
- The PDF version of the form is used by assessors and therefore any questions that do not render to the PDF will not be viewable by assessors.

Part A – Administrative Summary

A1 Application Title
(This question must be answered)
Provide a short title (up to 75 characters, approximately 10 words).
- The Application Title should be an accurate reflection of the research and will be visible to assessors.
- Avoid the use of acronyms and quotation marks.
- Do not use all upper case characters.
- The Application Title may be modified and used for public release.
A2 Person Participant Summary

(This question must be answered)

Each participant added to this application must be listed as a Chief Investigator (CI) or Partner Investigator (PI). The first named CI will be the Project Leader.

A Chief Investigator must:
• not be undertaking a Higher Degree by Research during the project activity period;
• reside predominantly (greater than 50 per cent of their time) in Australia for the project activity period; and
• be an employee for at least 0.2 full-time equivalent (FTE) at an Eligible Organisation, or be a holder of an honorary academic appointment (as defined in the Glossary of the grant guidelines) at an Eligible Organisation.

A Partner Investigator:
• must not meet the eligibility criteria for a CI as at the grant commencement date or during the project activity period.
• can be an employee of an Eligible Organisation who will not reside predominantly in Australia for the project activity period.

Please Note: To assist in identifying the appropriate role type for a participant, please refer to the decision tree at Appendix C.

Note that a person's RMS email address must be used to invite them to participate in this application.

‘Person Profile’ details (personal details, qualifications and employment) will be automatically populated into the application form and must be current at the time of submitting the application. It is important that each participant has updated their details in RMS before completing this question.

Select the relevant ‘Participation Type’ and enter the participant's email address, then click on ‘Add’. Repeat this action for the rest of the participants.

Note:
• If the participant did not create the application, after a participant has been added to the application, they will receive an automated email invitation and will be required to accept this invitation to participate on the application.
• When adding a participant, it is important to use the registered email address associated with their RMS account. If an email address other than that associated with RMS is used the participant will not receive an invitation to participate on the application.

• If the proposed participant does not have an RMS user account, they can request one by using the link on the RMS Homepage.

• For instructions on how to provide access to the application form for a non-participant see the RMS User Guide – Submitting an Application in RMS on the ARC website.

• If a participant fills out the application form and then returns to question A2 and changes their role (e.g. from Chief Investigator to Partner Investigator), this will result in some questions in the form being made inactive to suit the new role, and other questions which were formerly inactive now being made active and requiring a response. Applicants should carefully check the application including the PDF version (especially Part F Participant Details including ROPE) following any role change made at question A2, as data is not automatically removed when a role type is changed.

A3 Organisation Participant Summary

(This question must be answered)
Add all organisations participating in this application.

Note that only Research Office staff with appropriate access at the Administering Organisation will be able to view this draft application.

Administering Organisation means an Eligible Organisation (listed in the grant guidelines) which submits an application for a grant and which will be responsible for the administration of the grant if the application is approved for funding. One Administering Organisation must be added to the application.

Other Eligible Organisation means an Eligible Organisation (listed in the grant guidelines) which is listed on an application as a contributor to the project but is not the Administering Organisation.

Partner Organisation means an Australian or overseas organisation, other than an Eligible Organisation, which satisfies the eligibility requirements for a Partner Organisation and is to be a cash and/or in-kind or other material resources contributor to the project.

Other Organisation means an organisation which is listed on an application and is not an Eligible Organisation (not listed in the grant guidelines) and not a Partner Organisation that contributes to the research project.

Adding a participating organisation:
• Select the Organisation Role from the drop down list.
• Enter the name of the organisation in the search box and click ‘Search’.
• Select the relevant organisation from the list of search results and click ‘Add’.

Note: For Linkage Projects applications, it is not mandatory for a PI’s organisation to be listed as a participating organisation, unless the organisation is providing cash or in-kind contributions.
**Note:**

- Select ‘Add’ prior to saving your progress. If you save without ‘Adding’, all information selected will be lost.

- If the organisation you are looking for is not listed and you know the Australian Business Number (ABN), click on the link labelled ‘please add the organisation for use in RMS’ to add the organisation.

- All participating organisations added in question A3 will be automatically added to ‘question E1 – Project Cost’.

- If the participant cannot find the organisation they are looking for, or any information is incorrect or incomplete, contact the Research Office.

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### A4 Application Summary

(This question must be answered)

Provide an Application Summary (a paragraph of text which is used by the Minister to consider the application) of up to 750 characters (approximately 100 words) focusing on the aims, significance, expected outcomes and benefits of this project. Write the Application Summary simply, clearly and in plain English. If the application is successful, the Application Summary will be used to give the general community an understanding of the research. Avoid the use of acronyms, quotation marks and upper case characters.

Examples of Application Summaries for funded projects can be found on the [ARC website](https://www.arc.gov.au).

The Application Summary must follow this format:

**Aims:**

(For example: This project aims to address/investigate/review …; by utilising/advancing/conceptualising …)

**Significance:**

(For example: This project expects to generate new knowledge in the area of … using an innovative approach/using interdisciplinary approaches/utilising new techniques…)

**Expected Outcomes:**

(For example: Expected outcomes of this project include…./enhanced capacity to build institutional/disciplinary collaborations/theory development/refined methods/improved techniques…)
Benefits:

(For example: This should provide significant benefits, such as …)

Important things to note regarding the Application Summary:

- In following the format above, the summary will outline the aims of the project, provide the significance of the research, outline expected outcomes and benefits, including scholarly, public or commercial.
- The Application Summary may be modified by the ARC and used for public release.
- When describing benefits ensure that the description is consistent with the ARC Medical Research Policy, and specific to the research being proposed.
- Use aspirational terms (for example, This project aims to…/The intended outcome of the project is…/The anticipated goal of the project is…) rather than definitive terms (The project will…/This will ensure…/This project will guarantee…).
- Do not use first person language. Use ‘The project aims to…’ rather than ‘I aim to’ ‘We aim to’ or ‘They aim to’ in the summary.
- Use plain English and avoid the use of terminology unique to the area of study.
- Avoid the use of quotation marks and acronyms.
- Do not use all upper case characters in the text.
- Do not use all dot points.
- Use Australian English spelling.

A5 List the objectives of the proposed project

List each objective separately by clicking 'Add answer' to add the next objective (up to 500 characters, approximately 70 words per objective).

This information will be used for future reporting purposes if this application is funded, including reporting on these objectives in the final report. Objectives are pre-populated into the final report template.

A6 National Interest Test Statement

(This question must be answered)

Outline the extent to which the research contributes to Australia’s national interest through its potential to have economic, commercial, environmental, social or cultural benefits to the Australian community. Write the description of national interest simply, clearly and in plain English between 750 and 1125 characters (between approximately 100 and 150 words).

Note:

- The National Interest Test Statement may also be publicly released by the ARC.
- This question and the corresponding answer will not appear in the PDF version of the form.

Part B – Classifications and Other Statistical Information

B1 Does this application fall within one of the Science and Research Priorities?
(This question must be answered)

This is a ‘Yes’ or ‘No’ question.

- Select ‘Yes’ to indicate if the application falls within a Science and Research Priority area.
- If you select ‘Yes’ you will be required to select one of the Science and Research Priority areas from the drop down list.
- Then select one or more Practical Research Challenges from the drop down list. Each Science and Research Priority area has a number of associated Practical Research Challenges.
- Select ‘No’ if not applicable. If you select ‘No’ the Science and Research Priorities will remain greyed out.

Note:

- RMS will allow only one of the Science and Research Priorities to be selected. Choose the most appropriate one from the list. The application may, however, indicate more than one Challenge within the chosen Science and Research Priority.
- Information regarding the Science and Research Priorities is available via a link on the Department of Industry, Science, Energy and Resources website.

B2 Field of Research (FoR)

(This question must be answered)

Select up to three classification codes that relate to the application. Note that the percentages must total 100.

The Field of Research (FoR) classification defines research according to disciplines. The FoR codes selected should reflect the nature of the research in this application, particularly if it is interdisciplinary. The choice of FoR codes and their proportions will assist in assigning appropriate assessors to the application and should be as accurate as possible.

- Select up to three six-digit FoR classification codes that relate to the application. Once you choose the FoR code click on the ‘Add’ button.

Tips for searching and entering FoR codes

Click on the icon to search the full list of FoR codes:

Click FoR Codes and definitions by Division link

or visit the ARC website for Codes and definitions by Division, 2008.

A maximum of three FoR codes can be entered in an application.

Note: The ARC recommends that ‘XXXX99’ (not elsewhere classified) codes be used only as a last resort and when there is no other appropriate code within the classification.

- Enter in the percentage for each FoR classification.
- Prioritise the classification codes from highest to lowest percentage – note RMS does not automatically sort by highest to lowest percentage.
- Ensure that the percentages total 100.
• Enter a whole number, do not use the percentage sign (%).

**Note:** The highest percentage can only be entered for one FoR code (for example, 50 cannot be entered for two FoR codes).

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**B3 Socio-Economic Objective (SEO-08)**

(This question must be answered)

Select up to three classification codes that relate to the application. Note that the percentages must total 100.

The Socio-Economic Objective (SEO) classification indicates the sectors that are most likely to benefit from the project if funded.

If the code is known, start entering the SEO-08 code number. A filtered list will appear, once the SEO is chosen click on the ‘Add’ button.

**Tips for searching and entering SEO-08 codes**

Click on the 📈 icon or visit the ARC website to search the full list of SEO-08 codes.

A maximum of three six-digit SEOs can be entered per application.

• Enter a percentage for each SEO code.
• Prioritise the classification codes from highest to lowest percentage – note RMS does not automatically sort from highest to lowest percentage.
• Ensure that the percentages total 100.
• Enter a whole number, do not use the percentage sign (%).
**Note:** The highest percentage can only be entered for one SEO code (for example, 50 cannot be entered for two SEO codes).

**B4 Interdisciplinary Research**

(This question must be answered)

**Does this application involve interdisciplinary research?**

This is a ‘Yes’ or ‘No’ question. If you select ‘Yes’ two additional questions will be enabled.

- Specify the ways in which the research is interdisciplinary by selecting one or more of the options below.

- Indicate the nature of the interdisciplinary research involved. (Up to 375 characters, approximately 50 words)

Information regarding interdisciplinary research can be found on the ARC website in the **ARC Statement for Support of Interdisciplinary Research**.

**B5 Does the proposed research involve international collaboration?**

(This question must be answered)

This is a ‘Yes’ or ‘No’ question.

If you select ‘Yes’, two additional questions (B6 and B7) will be enabled:

- What is the nature of the proposed international collaboration activities; and
- If the proposed research involves International collaboration, specify the country/ies involved.

**B6 What is the nature of the proposed international collaboration activities?**

This question will only be required if ‘Yes’ is chosen in B5.

Choose all options which will apply to this application if it is funded.

Select a category and click ‘Add’.
If the proposed research involves international collaboration, specify the country/ies involved

This question will only be required if ‘Yes’ is chosen in B5.

Commence typing in the search box and select from the drop-down list the name of the country/ies of collaborators who will be involved in the proposed project.

Note that Australia is not to be listed and is not available to be selected from the drop-down list.

How many PhD, Masters and Honours places will be filled as a result of this project?

(This question must be answered)

For reporting purposes, the ARC is capturing the number of Research Students that would be involved in this application if it is funded.

Enter the number of student places (full-time equivalent - FTE) that will be filled as a result of this project, not just those requested in the budget for funding in the application form.

Indicate the number of:

- Research Student Places (FTE) – PhD
- Research Student Places (FTE) – Masters
- Research Student Places (FTE) – Honours.
Part C – Project Eligibility

C1 Medical Research
(This question must be answered)

Does this application contain content which requires a statement to demonstrate that it complies with the eligible research requirements set out in the ARC Medical Research Policy located on the ARC website?

Select ‘Yes’ or ‘No’ from the drop down list as appropriate. If ‘Yes’ is selected, question C2 will be activated.

The ARC Medical Research Policy provides descriptions of both eligible and ineligible research areas. Supporting documentation can also be found on the ARC website including ARC Medical Research Policy Frequently Asked Questions and ARC Medical Research Policy Eligibility Examples.

C2 Medical Research Statement
(This question must be answered if ‘Yes’ is selected at question C1)

In up to 750 characters (approximately 100 words), justify why this application complies with the eligible research requirements set out in the ARC Medical Research Policy located on the ARC website. Eligibility will be based solely on the information contained in this application. This is the only chance to provide justification, the ARC will not seek further clarification.

• Be clear as to the main aim of the application, which may include well identified, big picture and long term intent beyond the scope of the application.

• Address why areas of research which may appear to be medical are required, for example, to provide proof-of-concept, demonstrate a platform technology and are many years from medical application.

• Avoid simply quoting the policy in the response and provide sufficient detail for the ARC to properly understand the intent and limits of the research aims.

C3 Current Funding
(This question must be answered)

Does this application request funding for similar or linked research activities, infrastructure or a project previously funded, or currently being funded, with Australian Government funding (from the ARC or elsewhere)?

This is a ‘Yes’ or ‘No’ question.

If you answer ‘Yes’, provide the Funded Project ID(s) and briefly explain how funding this project would not duplicate Australian Government funding or overlap with existing projects.

Text response must be no more than 2000 characters, approximately 285 words.

C4 Other Application(s) for funding
(This question must be answered)

Are you applying for funding from the Australian Government (ARC or elsewhere) for similar or linked research?
This is a ‘Yes’ or ‘No’ question.
If you answer ‘Yes’, provide the application ID(s) and briefly explain why more than one application for similar or linked research has been submitted and, should all applications be successful, how they will be managed to avoid duplication of Australian Government funding.

Text response must be no more than 2000 characters, approximately 285 words.

**Part D – Project Description**

**D1**  Project Description

(This question must be answered)

Upload a Project Description as detailed below and in no more than ten A4 pages and in the required format.

All text in the PDF, including text within figures and tables, must be in the format described in Appendix B of these instructions.

The PDF must provide the following information using the headings below and in this order:

- PROJECT TITLE
- PROJECT AIMS AND BACKGROUND
- INVESTIGATOR(S)/CAPABILITY
- PROJECT QUALITY AND INNOVATION
- FEASIBILITY AND COMMITMENT
- BENEFIT
- COMMUNICATION OF RESULTS
- REFERENCES
- ACKNOWLEDGEMENTS (IF REQUIRED)

Applicants should ensure that information provided under these headings addresses the assessment criteria as detailed in the grant guidelines, noting the relevant weighting of the criteria.

**PROJECT TITLE**

This title may differ from that shown in question A1 of the application form, and may exceed ten words.

**PROJECT AIMS AND BACKGROUND**

- Briefly outline the aims and provide the background of this application.
- Include information about national and international progress in this field of research and its relationship to this application.
- Refer only to research outputs that are accessible to the national and international research communities.

**INVESTIGATOR(S)/CAPABILITY**
Describe the quality of the candidates’ Research Opportunity and Performance Evidence (ROPE) including evidence of:

- potential to engage in collaborative research with end-users;
- experience in research training, mentoring and supervision; and
- time and capacity to undertake and manage the proposed research in collaboration with the Partner Organisation(s).

PROJECT QUALITY AND INNOVATION

Describe the extent to which the project is significant and innovative including:

- any new methods or technologies to be developed that address a specific market opportunity;
- how the anticipated outcomes will advance the knowledge base to address an important problem and/or provide an end-user and/or industry advantage;
- how the project’s aims and concepts are novel and innovative; and
- how the project will significantly enhance links with industry and/or other organisations outside the Australian publicly-funded research and higher education sectors.

Describe the research approach and training including:

- the conceptual framework, design, methods and analyses, demonstrating these are adequately developed, well integrated and appropriate to the aims of the project; and
- the intellectual content and scale of the work proposed is appropriate to a higher degree by research student where relevant.

FEASIBILITY AND COMMITMENT

Describe:

- the extent to which the project represents value for money;
- the supportive and high quality environment for this research in the Administering Organisation and the Partner Organisation(s);
- the availability of the necessary facilities to conduct the research;
- the commitment of each Partner Organisation to collaboration in the research project and capacity to implement the outcomes of the research; and
- the adequacy of the budget, including cash and in-kind contributions pledged by the participating organisations.

If the project involves Aboriginal and Torres Strait Islander research describe:

- the strategies for enabling collaboration with Australian Aboriginal and Torres Strait Islander communities where appropriate (for example, dialogue/collaboration with an Indigenous cultural mentor); and
- any existing or developing, supportive and high quality research communities.

Note: this sub-element is about describing the relationship/s between you and/or other relevant personnel and the Aboriginal and Torres Strait Islander communities related to the research being proposed in the application.
BENEFIT
Describe:
− the new or advanced knowledge resulting from outcomes of the research;
− the economic, commercial, environmental, social and/or cultural benefits for relevant Australian research end-users (including relevant industry sectors);
− the potential contribution to building capacity in the Australian Government’s National Science and Research Priorities and other priorities identified by Government;
− benefits of the research for Partner Organisation(s) and other relevant end-users;
− the contribution of the research to developing strategic research alliances between the higher education organisation(s) and industry and/or other organisation(s);
− strategies to encourage dissemination, commercialisation, and if appropriate, the promotion of research outcomes; and
− where relevant, the extent to which the applicants have identified the freedom to operate in the Intellectual Property and patent landscape to enable future benefits to industry and/or end-users.

COMMUNICATION OF RESULTS
• Outline plans for communicating the research results to other researchers, relevant stakeholders of partner organisations, and the broader community, including but not limited to scholarly and public communication and dissemination.

REFERENCES
• Include a list of all references, including relevant references to the previous work of the participants.
• If preprints or comparable resources are cited, these should be explicitly identified in the reference list by including [PREPRINT OR COMPARABLE] after the reference. This reference should include a DOI, URL or equivalent, version number where available and/or date of access, as applicable. This indication is only required in the reference list and not in the project description itself. Please see Appendix E for more information.
• References may be in 10 point font.

ACKNOWLEDGEMENTS (if required)
• Acknowledge any significant contributions to this application in terms of ideas and authorship, by persons not already named in this application.
• Note that this heading does not need to be included in the Project Description if it is not required.

Note: Only references may be in 10 point.

Part E – Project Cost

E1 What is the proposed budget for the project?
(This question must be answered)
Outline the budget proposed for the project.
Ensure that your budget complies with the requirements of the grant guidelines. It is important that the Administering Organisation and any other organisations participating in this application have been added at question A3 prior to entering information in the budget table.

- Do not commence entering information in the budget table until all participants and all organisations have been requested and subsequently confirmed their participation on the proposed project.
- Do not include GST in your costs. The ARC will make GST adjustments to successful projects depending on whether the funding has been provided to a government-related or non-government-related entity.
- Government related entities generally do not pay GST on the funding transaction with the ARC, however, non-government-related entities which are liable to pay GST on the transaction with the ARC will receive base-funding plus GST.
- If your organisation is registered for GST and therefore able to claim input tax credits for the GST component in the cost of goods and services purchased in the course of carrying out the project, then the GST component of these costs should not be included in the project costs.
- Enter the amount of funding requested from the ARC in the ARC column. Funding must not be requested for items that are excluded. Refer to sections 3 and 5 of the grant guidelines for further information on budget items supported and not supported.
- Cash or in-kind contributions from the Administering Organisation, Partner Organisation(s), Other Eligible Organisation(s) and/or Other Organisation(s) may also be included at question E1 and described in question E3 (Details of non-ARC contributions). Please note that Partner Organisation cash contributions cannot be a contribution to salaries for CIs and/or PIs on the application.
- Ensure that funding is requested at the correct level, as the ARC will not be able to provide additional funds to cover a budget that has not been planned adequately.
- An Administering Organisation may be awarded project funding from $50,000 and up to $300,000 per annum (for between two and five consecutive years).

Note:
- The ARC reserves the right to determine the level of funding allocated to a project.
- Cash and in-kind contributions from the Administering Organisation, Other Eligible Organisation(s) and/or Other Organisation(s) may also be entered in question E1, including the dollar value of the salary for CIs and PIs who are receiving a (non-ARC) salary. Salaries are to be shown only for the proportion of time estimated that will be spent on the project.
- Do not build indexation into the amounts. Payments to the Administering Organisation will be automatically indexed at the time of payment for each year of the project.

ENTERING INFORMATION IN THE BUDGET TABLE
1) Participating organisations must be first added in question A3.
All participating organisations must be added to the application in question A3 ‘Organisation Participant Summary’ before filling out the budget. Columns for the different organisation types will be created within the budget.

Any budget validation error messages will appear at the bottom of the page.

2) Budget layout examples

Example 1:
The example below shows that Year 1 has been selected, and indicates the location of the total sum of all categories for the year selected, the icons for adding and removing items, and the fields to enter the funds requested for an item.
Example 2:
The example below shows that Year 1 has been selected and that $50,000 has been requested from the ARC for ‘Personnel example’ under the ‘Personnel’ category. The example shows that the Administering Organisation will be providing $10,000 of in-kind support for ‘Personnel example’ and the Combined Partner Organisation contribution for ‘Personnel example’ will be $30,000 in cash and $20,000 of in-kind support.

The example below also shows the contribution from each Partner Organisation (PO1 and PO2) and the ‘Committed Total’, which equals the combined Partner Organisation contribution.

<table>
<thead>
<tr>
<th>Year 1</th>
<th>Year 2</th>
<th>Year 3</th>
<th>Year 4</th>
<th>Year 5</th>
</tr>
</thead>
<tbody>
<tr>
<td>Description</td>
<td>Australian Research Council</td>
<td>Administering Organisation</td>
<td>Other Eligible Organisation</td>
<td>Partner Organisation</td>
</tr>
<tr>
<td>Total</td>
<td>Cash</td>
<td>Cash</td>
<td>In-kind</td>
<td>Cash</td>
</tr>
<tr>
<td>Personnel</td>
<td>50,000</td>
<td>10,000</td>
<td>30,000</td>
<td>20,000</td>
</tr>
<tr>
<td>Personnel example</td>
<td>50,000</td>
<td>10,000</td>
<td>30,000</td>
<td>20,000</td>
</tr>
<tr>
<td>Teaching Relief</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Maintenance</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Travel</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Fieldwork Expenditure</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Other</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Combined Partner Organisation contribution</td>
<td>30,000</td>
<td>20,000</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

3) Adding Teaching Relief

All CIs applying for Teaching Relief must answer ‘Yes’ at question F21 in their own Part F (only add via this method).

- Only CIs are eligible to request funding for teaching relief up to a total value of $50,000 per CI per year.
- CIs who have answered ‘Yes’ at question F21 must then select the teaching relief at one of the following levels for the CI’s teaching load:
  - 25 per cent ($12,500)
  - 50 per cent ($25,000)
  - 75 per cent ($37,500)
  - 100 per cent ($50,000)
- Once Teaching Relief has been requested by the participant at question F21, a Teaching Relief request will appear at the top of question E1.
- The Teaching Relief request will automatically calculate the percentage into dollar amounts and can only be added to the budget by a person who has full control of the application.
If the Teaching Relief needs to be removed from the budget at question E1, select the Reject button for the item in the budget at E1, and then the CI requesting the Teaching Relief will have to change the answer to ‘No’ at question F21 in the relevant CI’s participant details.

Refer to subsection 5.8.b of the grant guidelines for further information regarding Teaching Relief.

**Note:** To remove any added Teaching Relief from the budget table you will need to select ‘No’ in the relevant participant details section at question F21.

Form question F21: Participant Details including ROPE:
Form question E1: Project Cost

4) **Adding Additional Personnel**
   - Additional personnel including postdoctoral and postgraduate researchers and Higher Degree by Research stipends may be funded using project funding. Enter any additional personnel under ‘Personnel’ in the budget table by clicking the + and entering the description in the dialogue box.

   Then click ‘OK’. You will then be able to enter the amount of funding requested.

5) **‘Australian Research Council’ column**
   - Enter the amounts in the ARC column against the relevant items for each year you will be seeking funding from the ARC.
   - Use the budget table as a summary, using the broad categories listed. Details and justification of specific budget items must be provided in question E2.
   - Applicants should not enter indexation amounts. All amounts for each year should be entered as 2020$. The ARC will calculate indexation on an annual basis and apply this to the funding amounts. Indexation does not represent additional funding; it is to cover the general annual increase in cost for funded items.

6) **Budget Categories**
   - Budget items requested must be eligible costs under sections 5.7 and 5.8 of the grant guidelines.
Personnel

- Show salaries for Chief Investigators (CIs) and Partner Investigators (PIs) in the relevant in-kind columns (Administering Organisation, Other Eligible Organisation, Partner Organisation or Other Organisation only, not the ARC column), only for the proportion of time estimated that will be spent on the project.

- Funding requests for Higher Degree by Research stipends must only be requested for students who will be enrolled at Eligible Organisations.

- Funding requests for Senior Research Associates, Research Associates and all other personnel must be requested at an appropriate salary level for the employing organisation at the time of submission. These can be grouped by institution or role (e.g. ‘2 x Senior Research Associates at University X’). Do not list each individual salary request on a separate line.

- Salaries must include a 30 per cent on-costs (excluding items such as extended leave and severance pay) to contribute to salary-related on-costs, including payroll tax, workers’ compensation, leave loading, long-service leave, non-contributory and contributory superannuation.

- Where an honorary academic appointment is not financial, that participant does not need to be entered in the budget section.

- Details and justification of ‘Personnel’ and costings must be included in question E2 and question E3 as appropriate.

Travel

- Travel costs that are essential to the project can be supported, including economy travel costs for domestic and/or international travel and accommodation, not exceeding an average of $20,000 per year over the project activity period. Travel and accommodation costs related to carrying out field research or carers’ costs are not included in this average $20,000 per year limit. Refer to section 5.8.c of the grant guidelines for further information.

- Do not include individual flights, travel allowance, conference costs etc. as line items. ‘Travel’ costs must be listed by trip only (e.g. Paris conference for 2 people) with full details and costings in question E2 and question E3 as appropriate.
Field Research

- Include costs associated with ‘Field Research’ that are essential to the project, including technical and logistical support, travel and accommodation costs. These costs must be fully justified in question E2.
- Do not include individual ‘Field Research’ requests as line items. ‘Field Research’ costs must be listed by site visit only (e.g. Yass 10 days). Full details and justification and costings must be included in question E2 and question E3 as appropriate.

Equipment

- The Linkage Projects scheme will not fund budget items that do not directly support a research project as per section 5 of the grant guidelines.
- Funding will also not be provided for equipment or consumables that are considered to be for broad general use.
- Include a budget line item for each piece of equipment including the cost of the equipment and installation.
- Details and justification of ‘Equipment’ requests (including computing hardware and software items) and costings must be included in question E2 and question E3 as appropriate.

Note: It may be more appropriate to seek funding from the Australian Government for large or costly items of equipment through the ARC Linkage Infrastructure, Equipment and Facilities (LIEF) scheme. Refer also to the LIEF Register.

Maintenance

- Include in this category consumables and items related to equipment maintenance.
- Do not include requests for purchasing computing equipment or hiring personnel for data preparation or programming. Include these under ‘Equipment’ or ‘Personnel’ as appropriate.
- Details and justification of ‘Maintenance’ requests (including consumables and items related to equipment maintenance) must be included in question E2 and question E3 as appropriate.

Other

- Items which can be included in the ‘Other’ budget category are those that cannot be appropriately placed in another category. Some ‘Other’ items include, but are not limited to, expert services of a third party, publication and dissemination costs and web hosting and development specific to the project.
- Other costs may include reasonable essential extraordinary costs to allow a participant who is a carer, or who themselves require care or assistance, to undertake travel essential to the project.
- Details and justification of requests in the ‘Other’ category must be included in question E2 and question E3 as appropriate.

7) **Entering Contributions for Administering Organisations, Other Eligible Organisations, and Other Organisations**
• Enter the amounts the organisation will provide to the project in the appropriate column.

• Items must first be added to the budget table; the amounts can then be entered in the relevant columns.

• Eligible Organisations must ensure that organisational in-kind contributions in the budget section of the application do not include salary for any Commonwealth funded Fellowships, unless it is salary committed by the Eligible Organisation over and above the Commonwealth component. The inclusion of a Commonwealth Fellowship salary as an organisational in-kind contribution may lead to an application not being recommended for funding.

‘Administering Organisation’ column
• Enter in the dollar amounts that the Administering Organisation will be contributing to the project. The ‘Total’ line will sum the total of all categories.

‘Other Eligible Organisation’ and ‘Other Organisation’ columns
• If Eligible Organisations other than the Administering Organisation and Other Organisations are listed as participants in question A3, their contribution may be listed in the relevant budget column(s) which will appear in the Budget table. These contributions must also be summarised in the table(s) below the Budget.

‘Partner Organisation’ column
Refer to subsections 4.1, 4.8.c, 4.9 to 4.13 of the grant guidelines regarding Partner Organisation contribution requirements.
• Enter in the amount of funding in the relevant years that the Partner Organisation(s) will be providing against each budget line. This is the total amount being contributed if there is more than one Partner Organisation.

• A separate summary table entitled ‘Partner Organisation’ will be populated underneath the budget table. Each Partner Organisation’s name will be listed separately within that table to enter the amount each Partner Organisation will be contributing in the relevant year(s). Enter the total amount that a Partner Organisation is contributing against that Organisation’s name in each year(s). The amounts entered against all Partner Organisations must match the total amount showing in the ‘Partner Organisation’ column for each year.

**Important:** If the total amount in the ‘Partner Organisation’ table does not match the total amount in the ‘Partner Organisation’ column for each year of the primary table, You will see a budget validation error. For example, “The in-kind totals of the primary budget table and the secondary budget table do not match up for the contributor Partner Organisation (Summary) for Year 1”. This error must be resolved before any other validation errors. As a rule, resolve any validation errors from the first dot point down.

RMS only performs limited validation checks of budget compliance with the grant guidelines. It is the Administering Organisation’s responsibility to ensure that the budget requirements are met before submission to the ARC.

Justification of funding requested from the ARC
(This question must be answered)

The ARC budget justification information must not exceed four A4 pages. The uploaded PDF must:

- Use the same headings as in the Description column in the budget at question E1 of the application.

- Fully justify each budget item requested in terms of need and cost. In justifying the budget, it is not sufficient to claim certain equipment or personnel costs as $X. Rather, the budget justification should state, for example, that a full-time research assistant or technician with a specific level of expertise is required for ‘x’ months. The same level of explanation is required for all items being requested.

- Justify any funding being requested for major items of equipment. Requests for any major items of equipment are considered on merit. The participant should plan to use existing equipment wherever possible. If the participant is seeking funding for new equipment, describe how the equipment will be used and provide details of the manufacturer, supplier, cost and installation based on quotations obtained. Do not supply the quotations. For expensive pieces of equipment, the participant must justify the importance of the equipment to the research proposed and demonstrate that access to such equipment housed elsewhere is not practical. The Administering Organisation would be expected to contribute part of the funding required for expensive items of equipment.

- Requests for funding to cover the costs of domestic and international travel, including for reasons of fostering and strengthening collaborations in Australia and overseas, must be justified in full.

**E3 Details of non-ARC contributions**

(This question must be answered)

Provide an explanation of how non-ARC contributions will support the proposed project. Use the same headings as in the Description column in the budget at question E1 of the application (upload a PDF of up to two A4 pages and within the required format).

The uploaded PDF must:

- Use the same headings as in the Description column in the budget at question E1 of the application.

- Provide details including what each participant will contribute to the project in relation to their time and any other contribution of their organisation.

- If there is no direct funding being provided by a participating organisation in cases where this could reasonably be expected, explain fully why no commitment has been made.

**Part F – Participant Details including ROPE**

**Note:** This is the largest section in the application form. Ensure that you save regularly while completing this section.

Ensure that your RMS profile is up-to-date as some personal details automatically populate into this Form Part.
All information contained in Part F is visible to the Administering Organisation on this application.

Participants who are listed in question A2 will have a copy of section F automatically generated for them, including the role type selected at A2. If the participant has not created the draft application themselves, they will automatically receive an email directing them to accept or reject the invitation to participate on an application via RMS.

As specified at A2, ensure the correct role type has been selected. To assist please refer to the decision tree at Appendix C.

If a participant fills out the application form and then returns to question A2 and changes their role (e.g. from Chief Investigator to Partner Investigator), this will result in some questions in the form being made inactive to suit the new role, and other questions which were formerly inactive now being made active and requiring a response. Applicants should carefully check the application including the PDF version (especially Part F Participant Details including ROPE) following any role change made at question A2, as data is not automatically removed when a role type is changed.

Some questions are automatically populated from the personal details in the participant’s RMS profile. If the information in the profile needs updating it can be amended by logging into RMS and updating participant’s ‘Person Profile’ details.

F1 Personal Details
(This question must be answered)

This data is automatically populated from the participant’s RMS profile.

Questions in Personal Details can only be answered by the participant. The Administering Organisation will not be able to edit the material personal interest information on behalf of the participant.

To update the ‘Personal Details’ section, click on the ‘Manage Personal Details’ link in part F of the form. This will open a new browser tab where you can update the relevant information. Refresh the page once you return to the form to ensure information is updated.

Note: The date of birth, country of birth, material personal interests and Indigenous status information will not be visible in the PDF version of this form provided to assessors, but may be shared with other Commonwealth Entities.

Are you receiving any foreign financial support (cash or in-kind) for research related activities? If yes, then specify the country/ies that you have received financial support from.

Provide details of the country and brief summary of the type of financial support received. Details regarding multiple countries can be added by clicking ‘Add’ after each entry. Foreign financial support can come in many forms and includes cash, research funding, research and laboratory personnel, laboratory space, scientific materials, career advancement opportunities, promised future compensation or other type of remuneration.

Note: The response to this question is not displayed in RMS to other participants on the application due to ARC privacy obligations.
Are you currently, or have you previously been, associated or affiliated with a foreign sponsored talent recruitment program? If yes, then specify the program/s and the country of origin for each program.

Provide details of each program participation during the past 10 years by clicking ‘Add’ once the country is selected. Ensure that the program name, a short summary of the talent program/s, dates and financial or other support are provided for each country.

**Note:** The response to this question is not displayed in RMS to other participants on the application due to ARC privacy obligations.

Are you currently associated or affiliated with a foreign government, foreign political party, foreign state owned enterprise, foreign military or foreign policy organisation?

Provide details of each association/affiliation by clicking ‘Add’ once the affiliation type is selected. An explanation for the nature of each association/affiliation is required for each selection.

**Note:** The response to this question is not displayed in RMS to other participants on the application due to ARC privacy obligations.

Have you identified and disclosed any conflicts of interests in accordance with your Institution's conflict of interest policies and procedures?

This question serves as a declaration that:

1. You have one or more Conflicts of Interest (COIs) and have disclosed them to your employing institution, and if relevant, Administering Organisation(s) of ARC grant applications as required by the institution(s)’s COI policies and procedures, or
2. You do not have any COIs and have followed the relevant institution(s)’s COI policies and procedures (which may include reporting you have nil COIs).

In both cases you should answer ‘Yes’ to this question.

A ‘No’ answer to this question indicates that you have a COI that you have not disclosed to your employing institution or relevant Administering Organisation(s) of ARC grant applications. In this case you will be prevented from participating on an ARC grant application.

**Note:** This question and the corresponding answer will not appear in the PDF version of this form.

Has the participant acknowledged the collection of personal information by the ARC?

Provide a response to the acknowledgement of data collection question found within the Personal Profile of the participant.
The statement in the Personal Profile reads: By ticking the box, I acknowledge that personal information collected in my profile, including country of birth, date of birth, foreign relationships and conflict of interest declarations will be visible to staff who have administrative roles at both my administering organisation, and the administering organisation of any applications that I am named on, and the ARC may disclose this information to other government agencies for the purposes of grant administration and legislative compliance.

If this box is not ticked and the statement acknowledged you will not be able to be a named participant on an ARC grant application.

**Note:** This question and the corresponding answer will not appear in the PDF version of this form.

**F2 Fields of Research**

This data is automatically populated from the participant’s RMS profile.

To update the Field of Research (FoR) codes, the participant must amend their profile in RMS by clicking the ‘Manage Expertise and Fields of Research’ link in the application form. This will open a new browser tab. When returning to the form ensure to ‘Refresh’ the page to capture the changes made to the participant’s profile.

Each participant must have one or more (up to a maximum of 10) FoR codes in the participant’s individual profile to validate this question. The FoR codes in a participant’s profile must be a reflection of that person’s research expertise and may differ from the FoR codes listed for the application.

**Note:** This question and the corresponding answer will not appear in the PDF version of the form.

**F3 Expertise Text**

This data is automatically populated from the participant’s RMS profile (between 375 to 4000 characters, approximately 50 to 500 words).

**Note:** This question and the corresponding answer will not appear in the PDF version of the form.

To update the Expertise Text, the participant must amend their profile in RMS by clicking the ‘Manage Expertise and Fields of Research’ link in the application form. This will open a new browser tab. When returning to the form ensure to ‘Refresh’ the page to capture the changes made to the participant’s profile.

**F4 Qualifications**

This data is automatically populated from the participant’s RMS profile.

To update any qualifications, the individual participant must amend their profile in RMS by clicking the ‘Manage Qualifications’ link in this question. This will open in a new browser tab. When returning to the form ensure to ‘Refresh’ the page to capture changes made to the participant’s profile.

**F5 Research Load (non-ARC Grants and Research)**

(This question must be answered)
Provide details of research funding from non-ARC sources (in Australia and overseas).

For research funding from non-ARC sources, list all projects/applications/awards/fellowships awarded or requests submitted involving that participant for funding for the years 2020 to 2026 inclusive.

- Use the template format below ‘Funding from non-ARC sources’ to create a list of relevant projects and/or applications in descending date order. Ensure that the text entered is still at 12 size font as per the formatting requirements in Appendix B. Once completed, upload the list as a PDF.
- Support Statuses (third column) are ‘R’ for requested, ‘C’ for current support and ‘P’ for past support.
- Funding amounts are to be in thousands and in Australian dollars.
- The template table below has been formatted to fit the specified minimum margin requirement of 0.5cm.
- The project/application ID applies only to applications, current and past projects (including fellowships) funded by the National Health and Medical Research Council (NHMRC).
- Details should be provided for all non-ARC sources of funding.

Template with example:

<table>
<thead>
<tr>
<th>Description (All named investigators on any application or grant/fellowship in which a participant is involved, project title, source of support, scheme and round)</th>
<th>Same Research Area (Yes/No)</th>
<th>Support Status (Requested/Current/Past)</th>
<th>Application / Project ID (for NHMRC applications only)</th>
<th>2020 $’000</th>
<th>2021 $’000</th>
<th>2022 $’000</th>
<th>2023 $’000</th>
<th>2024 $’000</th>
<th>2025 $’000</th>
<th>2026 $’000</th>
</tr>
</thead>
<tbody>
<tr>
<td>Prof Joe Example, Prof Jane Sample</td>
<td>Y</td>
<td>C</td>
<td>n/a</td>
<td>205</td>
<td>100</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Dr Mary Test, Prof Joe Example</td>
<td>Y</td>
<td>C</td>
<td>n/a</td>
<td>175</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
F6 What will the participant's time commitment be to research activities related to this project?

(This question must be answered)
Enter the participant's time commitment to this project as a full-time equivalent (FTE).

**Note:** FTE of 1.0 represents a full time commitment (i.e. 5 days per week).

F7 Eligibility - Employment Details as at grant commencement date

(This question must be answered)

- This question will be used to determine the participant's eligibility and the determination will be based solely on the information contained in this application. Confirm the participant's employment status at all organisations that they will be associated with as at the grant commencement date. Enter the relevant appointment type and full time equivalent (FTE) for each organisation.

- The options available for relevant appointment type are:
  - Employee
  - Honorary Academic Appointment
  - Other

**Please Note:** By selecting Honorary Academic Appointment you are confirming that you meet the definition of an honorary academic appointment as specified in the grant guidelines. If you do not meet this definition, please select either the Employee or Other appointment type as appropriate.

- For applications submitted by 30 June 2021, the grant commencement date will be 1 July 2021. For applications submitted from 1 July 2021, the grant commencement date will be 1 January 2022.

- If you are adding employment at an Eligible Organisation, select the organisation name which matches the relevant organisation name in Section 4.6 of the grant guidelines.

- If you cannot find the organisation you are looking for, you can add the organisation using the Australian Business Number or contact the ARC Systems Support team at ARC_Systems@arc.gov.au for assistance.

- If the participant will not be employed or associated with any organisation at the grant commencement date, click in the Search bar and type 'Not Employed'. A record of the same name will be available for selection. Select ‘Not Employed’, the appointment type ‘Other’ and enter an FTE of 0.
F8 Eligibility - Relevant Organisation for this application as at grant commencement date for this project

(This question must be answered)

• Enter the organisation that is relevant to the participant’s inclusion on this application, and that they will be associated with as at the grant commencement date.

• For applications submitted by 30 June 2021, the grant commencement date will be 1 July 2021. For applications submitted from 1 July 2021, the grant commencement date will be 1 January 2022.

• The ‘relevant organisation’ is the primary organisation that will be supporting the participant’s involvement in this project if it is funded.

Note: The organisation must be listed in question F7 for this question to validate.

• If you cannot find the organisation you are looking for, you can add the organisation using the Australian Business Number (ABN).

F9 Eligibility - Currently held ARC projects

• This data is automatically populated from the participant’s RMS profile and will include any project which has not yet had a Final Report approved and the project file closed by the ARC. If there are any concerns with the information recorded here, contact the Administering Organisation’s Research Office.

• Currently held ARC projects can provide an indication of research performance and capacity and assist with ROPE.

• All active projects at the scheme-specific active project assessment date are taken into consideration for the purpose of determining the participant’s eligibility to apply for new funding. The active project assessment date means the date on which active project eligibility will be considered for project and application limits per named participant.

• An active project means a project that is receiving funding according to the terms of an existing Funding Agreement or grant agreement, or has any carryover funds approved by the ARC, or an approved variation to the project end date.
• Active projects are determined based on the project End Date in RMS at the time of the submission of an application. This date will be used to determine whether it is an active project for eligibility purposes.

• To be eligible to apply, all CIs and PIs named in the application must have met all obligations, including submitting satisfactory progress and final reports, for previously funded projects. This question is automatically populated with the final report dates and statuses for all currently held ARC projects to assist in determining a participant’s eligibility.

• The list of current ARC projects includes all projects on which the participant is named that have not been fully financially acquitted (via an End of Year Report), and/or projects that have not had the Final Report submitted and approved by the ARC.

F10  Eligibility - Will the participant be residing predominantly in Australia for the project activity period?
(This question must be answered)
This is a ‘Yes’ or ‘No’ question.

• Indicate whether the participant will be residing predominantly (greater than 50 per cent of their time) in Australia for the project activity period, taking into account any international travel.

• The project activity period means the period during which a project is receiving funding according to the original grant offer, or has any carryover funds approved by the ARC, or an approved variation to the project’s end date. During this period, the project is known as an active project.

• If the participant is applying as a CI and the answer to this question is ‘No’, the question will become invalid and a prompt to contact the Research Office to check the participant’s eligibility will appear. Eligibility will be based solely on the information contained in this application.

F11  Eligibility - Will the participant undertake a Higher Degree by Research during the project activity period?
(This question must be answered)
This is a ‘Yes’ or ‘No’ question.

If the participant is applying as a CI and the answer to this question is ‘Yes’, they will be prompted to contact their Research Office and Part F will not validate. Eligibility will be based solely on the information contained in this application.

A CI cannot be undertaking a higher degree by research (HDR) at the grant commencement date or during the project activity period. Participants must have their HDR conferred by the commencement date of the project. The HDR Conferral Date is the date of the conferral of the HDR, not the date of submission of the thesis, nor the date on which the thesis was accepted by the examination board.

F12  Eligibility - Project Relinquishment or Application Withdrawal
(This question must be answered)
ARC grant guidelines specify the limits on the number of applications and projects per named participant. Named participants on successful applications for the Industrial Transformation Research Hubs, Industrial Transformation Training Centres, ARC Centres of Excellence, and Directors on Special Research Initiatives must meet the project limits under the grant guidelines before the project can start. Project limits can be met by relinquishing existing active project(s), or relinquishing role(s) on existing active projects, or withdrawing application(s) that would exceed the project limits.

Provide applications/Project ID(s) for the applications/projects the participant has nominated in order to meet the project limits and what is intended (application withdrawal, project relinquishment, role relinquishment on project, project end date amendment). Provide applications/Project IDs and the intention for each separated by a comma.

If this question is not applicable to the participant, the question will be greyed out and is not required to be completed.

Failing to provide this information will jeopardise the eligibility of the applications.

F13 Eligibility - Further Details Regarding Partner Investigator Status – Will the participant hold a remunerated appointment at an Eligible Organisation as at the grant commencement date for this project?

This is a ‘Yes’ or ‘No’ question.

At A2 Partner Investigator has been selected as the role type, but it appears that the participant meets the criteria of a Chief Investigator. Note: this question is mandatory ONLY FOR PIs WHO:

- at F10 confirmed that they will reside predominantly (greater than 50 per cent of their time) in Australia for the project activity period of the proposed project; AND
- at F11 confirmed that they are not currently undertaking a Higher Degree by Research which will be conferred after the grant commencement date of the project; AND
- at F7 indicated that at the grant commencement date they would hold either:
  - an appointment at an Eligible Organisation equal or greater than 0.2 FTE; OR
  - an honorary academic appointment (as defined in the grant guidelines) at an Eligible Organisation.

- You do not need to answer these questions if it was indicated in question F10 that the participant will be living predominantly overseas OR if they have indicated in question F11 that they will be undertaking a Higher Degree by Research.

Justification of PI Status

- Justify the participant’s inclusion on this application as a PI with reference to sections 4.20 to 4.29 of the grant guidelines.
- If ‘Yes’ is selected to the question above, you will be asked to provide a justification for the participant’s participation on this project as a Partner Investigator. A participant who holds a remunerated position of at least 0.2 FTE at an Eligible Organisation, or holds an honorary academic appointment at an Eligible Organisation, would normally be expected to participate as a CI. Refer to the grant guidelines for further information.
- A maximum of 3750 characters (approximately 500 words) is allowed for the justification.
F14  **Eligibility – Is the participant providing research input on this project?**

(This question must be answered by Partner Investigators only)

This is a Yes/No question.

- If the participant answers ‘Yes’, the ROPE questions will be activated. You must read the [ROPE Statement](#) on the ARC website before filling out this section.
- If the participant answers ‘No’, they will be asked to upload a two page CV to support the PI’s involvement in the proposed project.
- The two page CV must be relevant to the application and can include significant career interruptions. It is up to the participant to determine the appropriate information to include in the CV.

F15  **Research Opportunity and Performance Evidence (ROPE) - Current and previous appointment(s)/position(s) - during the past 10 years**

This data is automatically populated from the participant’s RMS profile.

To update any details in this table, click on the ‘Manage Employment Details’ link in this question. This will open in a new browser tab. When returning to the form ensure to ‘Refresh’ the page to capture changes made to the participant’s profile.

- Provide details of academic, research, professional and industry experience during the past 10 years.
- Specify start date and end date of each position (if known).
- Select an organisation for each position.

**Note:** ‘During the past 10 years’ is from 1 January 2011.

F16  **Research Opportunity and Performance Evidence (ROPE) – Career Interruptions**

(This question must be answered)

**Has the participant experienced a significant interruption that has impacted on research opportunity?**

Read the [ROPE Statement](#) on the ARC website before filling out this section.

This is a ‘Yes’ or ‘No’ question.

If the answer is ‘Yes’ to this question you will be prompted to provide the dates and details for each significant interruption (up to 500 characters, approximately 75 words).

The interruption categories that can be selected from the drop-down menu are:

- Caring and parental responsibilities
- Disaster management and recovery
- Limited or no access to facilities and resources
- Medical conditions/disability/misadventure
- Non-research employment
- Unemployment
- Community obligations, including Aboriginal and/or Torres Strait Islander cultural practices and protocols
- Other

Add each period of interruption separately. Click ‘Add Answer’ to include additional interruptions.

**Note:** All applications submitted to the ARC must be certified by the Deputy Vice-Chancellor (Research) (DVCR) or their delegate or equivalent in the Administering Organisation. The DVCR does not need to provide any additional certification for this question.

Personal or confidential information relevant to the career interruptions should not be included in the application form. For example, do not include detailed medical information if the interruption was due to a medical condition. The information can be provided to the Administering Organisation and certified by the DVCR through the certification provided for the full application.
F17 Research Opportunity and Performance Evidence (ROPE) - Details of the participant’s career and opportunities for research, evidence of research impact and contributions to the field, including those most relevant to this application

(This question must be answered)

Upload a PDF of up to five A4 pages and in the format described in Appendix B of these instructions. The PDF must provide the following information that is relevant to the participant’s circumstances and opportunities in the order set out below:

- **AMOUNT OF TIME AS AN ACTIVE RESEARCHER**
- **RESEARCH OPPORTUNITIES**
- **RESEARCH ACHIEVEMENTS AND CONTRIBUTIONS**

**AMOUNT OF TIME AS AN ACTIVE RESEARCHER**

Provide:

- The number of years since the participant graduated with their highest educational qualification.
- A total FTE figure for periods of significant career interruption during that period.

For example, ‘I was awarded my PhD (x) years ago in (year) and in that period I have experienced a total of two years (at X.X FTE) of career interruptions’.

**RESEARCH OPPORTUNITIES**

Provide details, relative to the participant’s specific opportunities considerations (both negative and positive) and the effect this has had on their research. This may include:

- Any additional explanation required of the response to question F16.
- The research opportunity the participant has had in the context of their employment situation, including employment outside academia, any unemployment or part-time employment they may have experienced, and the research component of their employment conditions.
- A description of the participant’s role:
  - If the participant is university based, indicate as appropriate the percentage of their current role(s) in research-only, teaching and research, teaching-only, teaching and administration, research and administration, administration-only academic, researcher in business, program or project manager or other business role, giving any additional information (for example, part-time status) needed to understand their situation. Give an indication of what percentage of time they have spent in those roles; or
  - If the participant is industry based, indicate as appropriate the percentage of their current role in industry, research and administration, researcher in business, program or project manager or other business role, giving any additional information (for example, part-time status) needed to understand their situation. Give an indication of what percentage of time they have spent in those roles.
- The research mentoring and research facilities that have been available to the participant during their career.
- Any other aspects of the participant’s career or opportunities for research that are relevant to assessment and that have not been detailed elsewhere in this application
(e.g. any circumstances that may have slowed down their research and publications or affected the time they have had to conduct and publish their research).

RESEARCH ACHIEVEMENTS AND CONTRIBUTIONS

Provide a statement outlining any further evidence of the participant’s achievements and significant contributions to the field. This can include:

- Prizes, honours and awards
- Research supervision, mentoring and advice
- Invited keynote and speaker addresses
- Research income
- Commercial outcomes such as patents, IP licences and resulting benefits
- Identifiable benefits outside of academia
- Other professional activities
- Describe how the participant’s research has led to a significant change or advance of knowledge in their field, and outline how their achievements will contribute to this application.

Note that F18 provides an opportunity to describe the contribution of significance of the participant’s publications. This section should be devoted to other outputs.

**Note:** This should not include information presented in the following sections.

**F18 Research Opportunity and Performance Evidence (ROPE) – Research Outputs Context**

(This question must be answered)

Research context: Provide clear information that explains the relative importance of different research outputs and expectations in the participant’s discipline/s.

The information should help assessors understand the context of the participant’s academic research achievements but not repeat information already provided in this application.

It is helpful to include the importance/esteem of specific journals in the participant’s field; specific indicators of recognition within the participant’s field such as first authorship/citations, or the significance of non-traditional research outputs.

If preprints or comparable resources are cited, these should be explicitly identified by including [PREPRINT OR COMPARABLE] after the reference. This reference should include a DOI, URL or equivalent, version number where available and/or date of access, as applicable. Please see Appendix E for more information.

If this question is not relevant to a participant, for example a PI with non-academic background, the participant should include a short explanatory statement as to why this question is not applicable.

Your response to this question must be up to 3750 characters, approximately 500 words.
Research Opportunity and Performance Evidence (ROPE) – Research Outputs Listing including Ten Career-Best Research Outputs

The data is populated from the ‘Research Outputs’ section within the participant’s RMS profile. The participant will have the flexibility to choose and add which outputs to include in the application.

Research Output – includes all products (including preprints or comparable resources) of an ARC funded research Project that meet the definition of Research. For information on what the ARC defines as a Research Output, refer to the ARC Open Access Policy.

For instruction on how to add research outputs to a user’s profile in RMS, refer to the User Guides - Research Outputs in RMS—Instructions for adding Research Outputs to your RMS Profile on the ARC website. For instructions on how to add preprints or comparable resources see Appendix E.

Provide a list of research outputs marking those that are most relevant to this application categorised under the following headings: Ten career-best research outputs; Authored books; Edited books; Book chapters; Refereed Journal articles; Fully refereed conference proceedings; Additional research outputs (including non-traditional research outputs, and preprints or comparable resources). CVs and theses should not be included in this list. The participant’s ten career-best research outputs should not be repeated under subsequent headings.

Include up to 100 research outputs and fully reference each research output listed.

Note: Mathematical and other symbols will not be displayed in the Research Outputs sections of RMS. You can however preview the citations by clicking the PDF link which will display the symbols correctly.

Preprints or comparable resources can be included in the Research Output list but must be entered manually in the participant’s RMS profile as the category “additional research output”. Preprints or comparable resources should be explicitly identified as such by including [PREPRINT OR COMPARABLE] at the end of the reference. See Appendix E on how to add preprints or comparable resources into your RMS profile.

Research output listing: Indicate up to ten career-best research outputs by entering numbers 1 up to 10 in the ‘Rank’ column. To indicate research outputs that are relevant, tick the ‘Relevant checkbox which will add an asterisk against that research output.

To add research outputs to the application:

- To import all research outputs click on the ‘Search’ button. Use the drop down menu to select the specific category and/or source to import only.

Note: RMS will not prevent the entry of duplicate records and it is the responsibility of applicants to manage this. Users will have the flexibility to choose research outputs for listing in their grant applications. For instruction on how to add research outputs to a user’s profile in RMS, refer to the User Guide: Research Outputs in RMS—Instructions for adding Research Outputs to your RMS Profile available on the ARC website.
• To add research outputs tick the ‘Select’ checkbox or the ‘Select all’ button. Click on ‘Add selected’ button. To remove the research output from the listing click on the ‘Remove’ button.

**Note:** On saving, the ranked outputs will appear first and in order of rank.

• Research outputs relevant to the application can be indicated by ticking the ‘Relevant’ checkbox. This will add an asterisk against that research output in the PDF.

**Note:** The asterisk only appears in the Research Outputs PDF and Application PDF.

• ARC funding details are added to the research output in the participant’s profile or can be added by clicking on the ‘Additional Details’ button.

• To view the Research Outputs PDF click on the ‘View generated Research Outputs PDF’.

**Note:** The author display is automatically defaulted to show 5 authors against each Research Output. The number of authors displayed can be increased to a maximum of 40. To increase the number of authors to be displayed, enter in the desired number under ‘Maximum number of authors displayed in each reference’.

**F20** Research Opportunity and Performance Evidence (ROPE) – A statement on your most significant outcomes on industry-related projects including evidence of your experience in management and delivery of collaborative industrial and end-user focused research

(This question must be answered)

Provide a statement on your most significant outcomes on industry-related projects including evidence of your experience in management and delivery of collaborative industrial and end-user focused research. (Up to 3000 characters, approximately 400 words)
**F21**  Is the participant applying for Teaching Relief?

(This question must be answered if the participant is a Chief Investigator)

This is a ‘Yes’ or ‘No’ question.

- If you select ‘Yes’ you will be prompted to request the percentage of Teaching Relief for each requested year – you will need to select the one of the following levels of the CI’s teaching load being requested:
  - 25 per cent ($12,500)
  - 50 per cent ($25,000)
  - 75 per cent ($37,500)
  - 100 per cent ($50,000)

For example, if the CI is seeking Teaching Relief for half their teaching load in a year, the Teaching Relief budget is $25,000 (i.e. 50 per cent of the maximum teaching relief budget per year).

- Teaching Relief requests will populate into question E1 - Project Cost as a pending request where it will need to be added to the budget table.

- Note that if the Teaching Relief needs to be removed from question E1, select the Reject button for the item in the budget at E1, and then the request for Teaching Relief MUST be changed to ‘No’ at question F21 for the application to validate.

**Note:** CIs may request funding for teaching relief in order to maximise the opportunity for the CI to conduct research. This question is only relevant for CIs and will not be activated for PIs.

**F22**  Have you reviewed and confirmed the accuracy of all information contained in this application, including information entered within your personal profile?

Only the participant in this form part will be able to provide a response to this question.

The application form will not validate if ‘No’ is selected.
You must review and confirm the accuracy of all information contained in this application, including information entered within your RMS profile to be eligible to participate on this application.

This question and the corresponding answer will not appear in the PDF version of this form.

**Important:** If the ARC considers that an application is incomplete, inaccurate or contains false or misleading information, the ARC may in its absolute discretion decide to recommend that the application not be approved for funding.

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### Part G – Partner Organisation Details

This section will automatically be generated for each Partner Organisation added to the application at question A3. Participants must ensure that the name of the organisation is correct and is the current name of their intended Partner Organisation.

#### G1 Organisation contact details

(This question must be answered)

Please provide the address of the organisation, including the country.

**Note:** This question and the corresponding answer will not appear in the PDF version of this form.

#### G2 Letter of support for this application including Partner Organisation certification

(This question must be answered)

Provide a letter of support from the Partner Organisation on official letterhead, signed by the CEO or delegate. Refer to the grant guidelines for details of the required content for this letter. The Instructions to Applicants provide guidance on the template. (Upload a PDF of up to two A4 pages)

**Note:** A Partner Organisation letter of support template has been provided at Appendix D for distribution and use by the Partner Organisation(s).

#### G3 Is this a Partner Organisation whose funds are appropriated predominantly from Commonwealth or Australian State or Territory Government funding sources for the purposes of research?

(This question must be answered.)

This question must be answered ‘Yes’ or ‘No’.

Note that of the required Partner Organisation contribution to match the total funding requested from the ARC, a maximum of 25% can be made up of cash and/or in-kind contributions from one or more Partner Organisations of this type. This maximum of 25% is the combined eligible contribution from Partner Organisations of this type, and is not the maximum per individual Partner Organisation of this type. This type of Partner Organisation can contribute cash and/or in-kind which exceeds the value of 25% of the required Partner Organisation contribution, however the additional cash and/or in-kind will not count towards the required Partner Organisation contribution.
For example, if the total funding requested from the ARC (in 3 years) is $300,000 and this type of Partner Organisation is contributing $400,000 (cash and/or in-kind), only $75,000 will be counted towards matching the total funding requested from the ARC. The additional $325,000 will not count towards matching the total funding requested from the ARC. This means that another type of Partner Organisation will be required to participate on the application and make contributions (cash and/or in-kind) to match the total funding requested from the ARC.

Also note that any cash contribution cannot be sourced from funds awarded and appropriated by the Commonwealth or an Australian State or Territory Government for the purposes of research or from funds previously used to leverage government research or research infrastructure funding.

Refer to sections 4.10 and 4.11 of the grant guidelines for information regarding the cash and/or in-kind contribution from these types of organisations.

**G4 Type of Partner Organisation**

(This question must be answered)

Is this Partner Organisation an Exempt Archive and Public Record Office, an Exempt Charity, an Exempt Herbarium, an Exempt Museum and Collecting Organisation, an Exempt Non-Profit Organisation, an Exempt Small Business or an Exempt Start-up?

(Refer to sections 4.12, 4.13 and the Glossary of the grant guidelines for further information.)

This question must be answered ‘Yes’ or ‘No’.

- If you select ‘No’ the list of Exempt Partner Organisation types will remain greyed out.
- If you select ‘Yes’ you will be required to select the type of exempt organisation from the drop down list of Exempt Partner Organisation types.

Note that as per section of 4.13 of the grant guidelines, an application including only these types of Partner Organisations is exempt from the cash contribution requirements. Refer to sections 4.12 and 4.13 of the grant guidelines for information regarding the contribution requirements from these types of organisations.
5. Submitting the application to the Research Office and the ARC

Once all form components of the application are completed and saved, the application header should be validated and have changed from red (invalid) to green (valid).

Before submitting the application to the Research Office, the participant must review all components to ensure the information to be submitted is complete and valid.

When you are ready to submit the application to the Research Office return to the Action Centre home page and click on ‘Submit to Research Office’.

**Note:** Many users will be attempting to submit concurrently as the deadline approaches for each round. Allow sufficient time to complete and submit applications before the closing time. As the time on a computer server may differ slightly from the ARC servers, submission should **not** be delayed until the last possible moment. Note that Research Offices may impose their own internal deadlines on participants to submit applications.

- The participant and Research Office staff who wish to generate a PDF so that they can keep a hard copy of the submitted application may generate a PDF by selecting the PDF icon next to the ‘Save’ button (top right).
Appendix A – Adding an ORCID iD to an RMS account

RMS users are now able to link an ORCID iD to their RMS User Profile. The functionality will connect directly to ORCID, and will allow the user to link a current ORCID account or will provide them with the ability to create an ORCID account.

At this stage, it will not be mandatory for Applicants to have an ORCID iD listed within their RMS account. Additionally, this will simply link RMS to the user’s ORCID account and will allow the ARC to view any Public Information. No information from the user’s ORCID account will be utilised in other parts of RMS at this stage.

Your ORCID iD and information will not appear in the application form and will not be used for assessment purposes, therefore it is important that all questions in the application form are answered.

Instructions on how to add an ORCID iD to a user’s account:

- Login to RMS at https://rms.arc.gov.au
- From the Person Profile section, select Personal Details
  
  ![Person Profile]

- Under the ORCID ID section of the Personal Details page, Select the Create or Connect your ORCID ID button

  ![Create or Connect your ORCID ID]
A new window will open, allowing the user to sign in to their ORCID account or to register for a new ORCID account.

- Once the user has entered their account details, a message will prompt the user to authorise RMS to read their limited-access information.

Note: The same function is available on the Research Output page in a user’s RMS profile.

- Once the user has authorised, RMS will confirm and the user can close the window.
Appendix B – Format

Write in plain English and comply strictly with the application format and submission requirements.

All pages of additional text (uploaded in PDF form) must be as follows:

- Black type, or occasional coloured type for highlighting purposes.
- Single column.
- White A4 size paper with at least 0.5 cm margin on each side and at top and bottom.
- A highly legible font type must be used before converting to PDF such as: Arial, Helvetica, Palatino and Times New Roman subject to them being an equivalent sized font to 12 point Times New Roman. Variants such as mathematical typesetting languages may also be used.
- Text included in figures, tables or pictures must also be equivalent sized font to 12 point Times New Roman. Note: In judging the equivalence (or otherwise) of text within figures, tables or pictures, keep in mind the intent behind this font size requirement, i.e. to ensure that no application is afforded a material advantage over other applications by inserting substantially more information into their applications through using text that is less than 12 point font. For example, a structural diagram that includes atom labels as part of the image would be acceptable, but images that contain lengthy descriptive text (e.g. paragraphs of small font information) would not comply with the font size requirements.
- Only references can be in equivalent sized font to 10 point Times New Roman.
- Comply strictly to page limits designated for each part of the application.
- The inclusion of webpage addresses/URLs and hyperlinks should only be used under certain circumstances such publications that are only available online and letters of support. Webpage addresses/URLs and hyperlinks should not be used to circumvent page limits, nor should they provide information that is not contained in the application. All information relevant to the application must be contained within the application.
- Applicants should only include information which is pertinent to the research and note that colour graphs, colour photographs, detailed graphics and grey scale objects may be reproduced in black and white and should be both necessary and appropriate.
- Additional text uploaded as PDF may appear slightly reduced in size due to the RMS formatting of the attachments to include page numbers. Additional text uploaded in PDF form should be directly generated rather than scanned to maximise the quality of reproduction.
- Applicants should avoid using advanced PDF editing functionality such as the addition of textboxes, as this may not be supported in RMS. Applicants should carefully check that any changes made to a PDF document appear correctly in the application PDF in RMS.
- The ARC reserves the right to seek an original electronic copy of documents uploaded into the application to determine that the text meets these requirements.

Note: Information such as citations or public recognition may be considered for inclusion in relevant sections if suitable.
Appendix C – Chief Investigator/Partner Investigator role decision tree

Which role should I select - Chief Investigator (CI) or Partner Investigator (PI)?

<table>
<thead>
<tr>
<th>Question 1. Will you reside predominantly (greater than 50 per cent) in Australia for the project activity period?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
</tr>
<tr>
<td>No</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 2. Will you undertake a Higher Degree by Research (HDR) during the project activity period?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
</tr>
<tr>
<td>No</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 3. Will you be an employee for at least 20 per cent of Full Time Equivalent (0.2 FTE) at an Eligible Organisation?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
</tr>
<tr>
<td>No</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 4. Will you hold an honorary academic appointment at an Eligible Organisation?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
</tr>
<tr>
<td>No</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Question 5. Will you hold a substantive paid position elsewhere (not at an Eligible Organisation)?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
</tr>
<tr>
<td>No</td>
</tr>
</tbody>
</table>

Please note: This decision tree is provided to assist participants to select the appropriate role for their circumstances. It is not a definitive assessment for all cases. Please refer to the relevant grant guidelines for detailed information regarding role eligibility, and discuss your circumstances with the Research Office.
Appendix D – Partner Organisation letter of support template

This template is for guidance only and is not a mandatory format. Ensure the Partner Organisation letter of support meets the requirements as set out in the grant guidelines and Instruction to Applicants, including the required formatting as outlined in Appendix B. Ensure that the required certification text is included as set out in the grant guidelines, amended wording is not acceptable. Note that the completed letter must be uploaded at Part G2 of the LP21 application form in RMS, and should not be mailed to the ARC in hardcopy.

[Partner Organisation official letterhead]

Director Linkage Program
Australian Research Council

[Date]

Dear Sir/Madam,

Re: Letter of support for application [application RMS ID and title]

[A brief profile of the Partner Organisation.]

[Details regarding how the project aligns with the Partner Organisation’s strategic objectives]

[Partner Organisation’s expectations about industry outcomes, products and/or market value, where relevant]

[Details/information of the Cash and/or In-Kind Contributions from the Partner Organisation for the project]

<table>
<thead>
<tr>
<th>Total In-Kind Contribution ($)</th>
<th>Total Cash Contribution ($)</th>
<th>Source of Cash Contribution</th>
</tr>
</thead>
<tbody>
<tr>
<td>$</td>
<td>$</td>
<td>Cash contribution will be sourced from….. / A Cash Contribution is not being made.</td>
</tr>
</tbody>
</table>

“I certify that no part of [Partner Organisation name]’s Cash Contribution is drawn from funds previously appropriated or awarded from Commonwealth or Australian State or Territory Government sources for the purposes of research, nor from funds previously used to leverage government research or research infrastructure funding.” [This certification is not required if a Cash Contribution is not being made].

“I certify that [Partner Organisation name] will meet the requirements outlined in a standard ARC grant agreement, including the requirement to enter into arrangements regarding Intellectual Property which do not unreasonably prevent or delay academic outputs.”

Yours sincerely,

[CEO/Delegate signature]
[CEO/Delegate signature block]
Appendix E – Preprints or comparable resources

Preprints or comparable resources can be included in any part of an application. This includes within the Research Outputs list and the body of an application.

An application will not be deemed to be ineligible for the citing and listing of preprints or comparable resources. Recognising that their citation can be a crucial part of research discourse, the suitability and relevance of citations and research outputs are best considered by the assessors and panels in determining the quality and novelty of the proposed research.

A preprint or comparable resource is a scholarly output that is uploaded by the authors to a recognised publicly accessible archive, repository, or preprint service (such as, but not limited to, arXiv, bioRxiv, medRxiv, ChemRxiv, Peer J Preprints, Zenodo, GitHub, PsyArXiv and publicly available university of government repositories etc.). This will include a range of materials that have been subjected to varying degrees of peer review from none to light and full review. Ideally, a preprint or comparable resource should have a unique identifier or a DOI (digital object identifier). Any citation of a preprint or comparable resource should be explicitly identified as such and listed in the references with a DOI, URL or equivalent, version number and/or date of access, as applicable.

Inclusion of preprints or comparable resources within the body of the application should comply with standard disciplinary practices for the relevant field.

How to add a preprint or comparable resource to the participant’s RMS user profile

NOTE: Preprint or comparable resource format is only possible for the ‘Additional Research Output’ type

- To add a preprint or comparable resource select ‘Additional Research Output’, then select ‘Yes’ under ‘Free Form Citation’.

- Enter relevant text and date (Month and Year). The citation text will allow up to 4000 characters.
• A preprint or comparable resource should be explicitly identified [PREPRINT OR COMPARABLE] Click ‘Save’.

• The citation will display in the Manage Outputs list.

![Image of citation table]

Note: Only the citation text will display in the RMS outputs list or render into the PDF. To have the date display, include it within the citation text.

![Image of citation search]

• Once included the citation will appear in the ‘Additional Research Outputs’ list in the application as below.

![Image of additional research outputs]

Edit a manually added Research Output

• Navigate to the RMS Login page: https://rms.arc.gov.au

• From the Person Profile section, select Research Outputs

• Against the Research Output that you wish to edit, click on the Edit button

• Make the required edits and click the Save button

Note: Not all the fields available in manual entry will render into the application PDF, and not all fields are relevant to each output category. The ARC is applying the Harvard Author-Date style to format outputs into the PDF. (The Style Manual for Authors, Editors and Printers Sixth Edition page 188 has details.)

The Notes field renders into the application PDF for the ‘Additional Research Outputs’ Category. Although it is not available for “Unspecified Outputs”.

How to add a preprint or comparable resource to an application

To add research outputs to the application:

• To import all research outputs, click on the ‘Search’ button. Use the drop down list to select the specific category and/or source to import only.
Note: RMS will not prevent the entry of duplicate records and it is the responsibility of applicants to manage this. Users will have the flexibility to choose research outputs for listing in their grant applications. For instruction on how to add research outputs to a user’s profile in RMS, refer to the User Guide: Research Outputs in RMS-Instructions for adding Research Outputs to your RMS Profile available on the ARC website.

- To add research outputs, tick the ‘Select’ checkbox or the ‘Select all’ button. Click on ‘Add selected’ button. To remove the research output from the listing click on the ‘Remove’ button.

Note: On saving, the ranked outputs will appear first and in order of rank.

- Research outputs relevant to the application can be indicated by ticking the ‘Relevant’ checkbox. This will add an asterisk against that research output in the PDF.

Note: The asterisk only appears in the Research Outputs PDF and application PDF.

- To view the Research Outputs PDF, click on the ‘View generated Research Outputs PDF’.

- Research outputs that are the ten career-best research outputs are indicated by adding a numeric value from 1 up to 10.

NOTE: On saving, the ranked outputs will appear first and in order of rank.

- Research outputs relevant to the application can be indicated by ticking the ‘Relevant’ checkbox. This will add an asterisk against that research output in the PDF.

NOTE: The asterisk only appears in the Research Outputs PDF and Application PDF.

- ARC funding details are added to the research output in the participant’s profile or can be added by clicking on the ‘Additional Details’ button.

- To view the Research Outputs PDF click on the ‘View generated Research Outputs PDF’.
Changes to document

**Appendix B**  Additional wording has been added regarding avoiding the use of advanced PDF editing functionality. (21 January 2021)

**Question A2**  Addition of a reference to Appendix C. (6 July 2021)

**Part F (page 28)**  Additional wording has been added regarding participant roles. (6 July 2021)

**Question F7**  Additional wording has been added regarding appointment types. (6 July 2021)

**Question F13**  Additional wording has been added referring to the definition of an honorary academic appointment. (6 July 2021)

**Question G2**  Updated reference to Appendix D. (6 July 2021)

**Appendices**  Insertion of a new Appendix C (Chief Investigator/Partner Investigator role decision tree). The previous Appendix C has moved to Appendix D (Partner Organisation letter of support template). (6 July 2021)

**2.1 (page 3)**  Information regarding preprints updated (14 October 2021)

**2.2 (page 4)**  Additional note about the inclusion of preprints & comparable resources links (14 October 2021)

**Question D2**  Additional note about preprint and comparable resources references (14 October 2021)

**Question F18**  Additional paragraph about citing preprints or comparable resources (14 October 2021)

**Question F19**  Additional text and notes about preprints and comparable resources included (14 October 2021)

**Appendices**  Addition of Appendix E – Preprints or comparable resources (14 October 2021)