FREQUENTLY ASKED QUESTIONS

National Intelligence and Security Discovery Research Grants (NISDRG) for funding commencing in 2022 (NI22R1 & NS22R1)

Version 2.0 - Release date: 3 November 2021

- All participants should read the *Grant Guidelines for the National Intelligence and Security Discovery Research Grants 2021 (NISDRG)* (grant guidelines) and specific *Instructions to Applicants* documents available on GrantConnect as they contain important information for Research Office staff and individual participants preparing applications.

- We do not respond to queries from individual participants. Individual participants should direct all queries regarding NISDRG grant opportunities to their Administering Organisation’s Research Office (or equivalent). If further information regarding the NISDRG is required, the Research Office should email ARC-NISDRG@arc.gov.au or call 02 6287 6600.

  - Information regarding the Research Management System (RMS) is available at the Grants Services page on the ARC website, or by contacting RMSSupport@arc.gov.au for assistance.

  - To access the relevant version of RMS go to:
    - Office of National Intelligence RMS (Intelligence Challenges).
    - Department of Defence RMS (National Security Challenges).

- Please ensure that your application is eligible in accordance with the grant guidelines.

- This Frequently Asked Questions document will be updated as required.
Difference from ARC grant programs

1. **Is the NISDRG Program funded by the ARC?**

   No. The NISDRG Program has been fully funded by the Office of National Intelligence (ONI) and the Department of Defence (Defence). It is not using any ARC funding.

   The ARC is providing grant administration services, which means the ARC will facilitate the application and assessment process and manage the day-to-day administration for awarded grants on behalf of the ONI and Defence.

2. **How does NISDRG differ from ARC grant schemes?**

   Some key points include:
   
   - Applications for the Intelligence Challenges Grant Opportunity must select and address one or more Intelligence Challenges for ONI.
   - Applications for the National Security Challenges Grant Opportunity must select and address one or more National Security Challenges for Defence.
   - No grant or application limits apply for these Grant Opportunities.
   - The *ARC Medical Research Policy* does not apply to these Grant Opportunities.
   - Every Eligible Organisation named on the application must have a Chief Investigator (CI) listed on the application.
   - Every Other Organisation named on the application must have a Partner Investigator (PI) listed on the application.
   - All CIs, PIs and personnel that receive salary support or stipends are required to be Australian citizens or Australian Permanent residents or New Zealand Special Category Visa holder.
   - You must carefully read the NISDRG Grant Guidelines for all eligibility requirements.

3. **What is the eligibility process for NISDRG?**

   The ARC will review your application against the eligibility criteria described in the grant guidelines on behalf of the ONI and Defence. Information contained in the application may be provided to other Commonwealth entities for the purpose of confirming eligibility.

   If an application is considered ineligible, you will be notified during the assessment period. You may submit an appeal against the administrative process in relation to this decision (eligibility appeal) within 30 days of the date of the eligibility decision notification, as specified in section 9 of the grant guidelines.

   If an application is ineligible, the application may not be progressed through the assessment process and an ineligible application will not be recommended for funding.
Definitions

4. **Chief Investigators (CIs), Partner Investigators (PIs) and personnel that receive salary support or stipends are required to be Australian citizens or Australian permanent resident visa holders. What does Australian resident mean for the purpose of the NISDRG Program?**

   As per the glossary in the grant guidelines, an Australian resident means "Australian permanent resident or New Zealand Special Category Visa holder".

5. **Other Organisations must be Australian organisations. What does Australian Organisation mean for the purpose of the NISDRG Program?**

   As per the glossary in the grant guidelines, an Australian Organisation has its headquarters in Australia, has a board with a majority of Australian citizens or Australian residents, and has an Australian Business Number (ABN).

Other

6. **Where can I find the key dates for the NISDRG program?**

   You can find the key dates on the Research Grant Services page on the ARC website - [https://www.arc.gov.au/research-grants-services/arc-research-grants-services-rms-nisdrg](https://www.arc.gov.au/research-grants-services/arc-research-grants-services-rms-nisdrg)

7. **Can I apply for both NISDRG programs with the same or similar project?**

   Yes, however each program requires applicants to address differing research priorities. Further information about the Challenges is available on the Research Grants Services page on the [ARC website](https://www.arc.gov.au/research-grants-services/arc-research-grants-services-rms-nisdrg).

   Consider how the proposed project addresses the Intelligence Challenges for ONI or the National Security Challenges for Defence. Also consider that if more than one project is awarded, how do they differ so there is no Commonwealth overlap of funding.

8. **Is the application form available in RMS and how do I find the ONI or Defence portals to apply?**

   Applications for the NISDRG grant opportunity must be prepared and submitted through the specific ONI or Department of Defence portals of the Research Management System (RMS). Applicants and participants must not use the standard ARC RMS portal for this grant opportunity.

   Participants can use their ARC RMS login ID and password to access either portal. More information about RMS, and links to the RMS portals for these Grant Opportunities, is available on the ARC website.

9. **If I modify my user details in RMS, does this get reflected in the NISDRG application form?**

   The information currently stored in an RMS participant’s ‘Person Profile’ will be utilised across all RMS portals for auto-populating sections of the application form. ‘Person Profile’ information can be edited by a participant in any portal and will be
reflected in the others (i.e. if ‘Person Profile’ information is changed in the ONI or Department of Defence portals of RMS these changes will be automatically reflected in the ‘Person Profile’ part of ARC RMS). Please ensure you have updated your Personal Details page of RMS as there are new questions that must be answered.

10. **The ARC Policies only reference the National Competitive Grants Program and ARC funding, do they really apply?**

Compliance with ARC Policies detailed in the NISDRG Grant Guidelines and the NISDRG Grant Agreement is required for NISDRG.

Where Policies reference ‘ARC funding applications’ or ‘ARC funded projects’ this also means ‘funding applications for grants funded by other Commonwealth entities administered by the ARC’ and ‘funded projects of other entities administered by the ARC’.

11. **What are the NISDRG Challenges and how do I find out more about them?**

The Intelligence Challenges and the National Security Challenges have been developed under the broad National Security Science and Technology Priorities. The Challenges are priority research areas which will be updated from round to round.

The Intelligence Challenges can be found on GrantConnect:

Forecast Opportunity View - [NI22R1](#)

Grant Opportunity View – [GO5098](#)

The National Security Challenges can be found on GrantConnect:

Forecast Opportunity View - [NS22R1](#)

Grant Opportunity View – [GO5099](#)

12. **Have the Challenges changed since the previous Grant Opportunity?**

The Intelligence Challenges have not changed. However, as comparatively few Round 1 applications were received that specifically addressed the ‘Identity Management’ Challenge, applications that address the ‘Identify Management’ Challenge are strongly encouraged. The intention is that the NISDRG program will address all the Intelligence Challenges. Unsuccessful Round 1 applicants are welcome to reapply.

The National Security Challenges have changed from Round 1. Please see the National Security Challenges document for more information on the current challenges.

13. **Will the Challenges be the same in the next Grant Opportunity?**
The National Security Challenges and Intelligence Challenges will be reviewed before the next Grant Opportunity.

14. **Why do I have to provide passport or visa information for all Chief Investigators and Partner Investigators for Participant Eligibility? Where does this information go and for what purpose?**
The Passport and Visa number information entered in RMS will enable ONI and Defence to verify Australian citizenship, Australian Permanent Residency or New Zealand Special Category Visa status of named participants on NISDRG applications. Information will be checked with the document issuer or official record holder via third party systems for the purpose of confirmation. Information entered into RMS will not be visible to other applicants or assessors, although will be viewed by Research Offices.

15. **Is the Department of Defence and its staff allowed to be named as participants in these grants?**
Department of Defence staff are not to be named participants (Chief or Partner Investigators), and the Department of Defence is not to be listed as an Other Organisation in a NISDRG application or funded grant. Existing relationships between participants and the Department of Defence shall be declared and managed as a conflict of interest by the Administering Organisation. For example, participants receiving Department of Defence funding for a different research project must declare this funding to ensure potential conflicts of interest are raised.

16. **Are National Intelligence Community member entities and their staff allowed to be named as participants in these grants?**
Member entities of the National Intelligence Community (a list is available on the [ONI website](#)) and their staff are not to be named participants (Chief or Partner Investigators), and National Intelligence Community member entities (agencies) are not to be listed as an Other Organisation in a NISDRG application or funded grant. Existing relationships between participants and the National Intelligence Community shall be declared and managed as a conflict of interest by the Administering Organisation. For example, participants receiving National Intelligence Community funding for a different research project must declare this funding to ensure potential conflicts of interest are raised.

17. **Can Publicly Funded Research Agencies (PFRAs) be named as participants in these grants?**
Yes, a PFRA can be named as a participating organisation, however while a PFRA may be able to engage with an eligible institution on a grant application, there can be no flow of grant funds, either directly or indirectly, from the eligible institution to the PFRA. The PFRA must contribute their own resources e.g., staff time, facility access, etc, into the proposed project. An example would be if the PFRA was listed as an Other Organisation, and that PFRA’s facility or equipment was to be used by the project, no costs could be charged to the grant for the use of that facility or equipment.
18. Are letters of support from Defence or an intelligence agency permitted?
Letters of support from Defence or an intelligence agency are not permitted to be included as part of an application.

19. Is an Army Reserve Officer (previously was a Serving Officer in the Army) eligible to apply?
The participant would need to declare their reservist association. Existing relationships between participants and the National Intelligence Community and/or the Department of Defence shall be declared and managed as a conflict of interest by the Administering Organisation.

20. Question A2, the form does not allow a user to select a Relevant Organisation. This then appears to cause a validation error that states the admin organisation has no participants
All organisations participating in the National Intelligence and Security Discovery Research Grants (NISDRG) program and listed in question A3 must have at least one named participant listed in question A2. For this question to validate all participants must complete the relevant question in Part F of the application form.

21. Are international collaborations supported by the grant?
Any international collaboration must be necessary to the project and justified in relevant questions in the form, including the project description and budget justifications.

22. Why aren’t my Field of Research codes appearing in my RMS profile?
In 2020 a new set of ANZSRC Fields of Research (FoR) codes were released by the Australian Bureau of Statistics (ABS). You will need to convert your existing 2008 codes to the 2020 codes within your profile for them to populate to the application form. More information about converting FoR codes can be found in the RMS User Management Guide located on the ARC Website.

23. Does everyone working on the Grant Project need to be an Australian Citizen?
All personnel paid from the grant must be an Australian citizen or have permanent residency as detailed in the Grant Guidelines at section 4.15 and the Grant Agreement, at section A2.2.7 (‘Other Personnel’) & A2.2.6 (‘Specified Personnel’) of the Grant Agreement. All applicants named within the application must meet the citizenship eligibility requirements at the time of application submission, and if awarded, at all times throughout the grant.

Questions from NISDRG Round 2 Application Information Webinar - 15 October 2021

24. Section 10.3 of the Grant Guidelines states that unsuccessful NISDRG applications may be considered for funding under an ARC scheme. Is this likely?
The intention of this clause is to enable the ARC to review, and if eligible, to fund unsuccessful NISDRG applications. The ARC will only seek NISDRG applications for
review if the funding allocation for the NCGP is not exhausted by meritorious applications. Due to the high number and quality of submissions within the NCGP schemes it is unlikely that the ARC will require unsuccessful NISDRG applications for funding.

25. **Does the certification within RMS replace the ARC written evidence document (certification proforma) on GrantConnect for all named participants and their organisations?**

The Certification Proforma document which was previously available on GrantConnect has been replaced with a simplified process through RMS. The RMS process includes:
- participant certification within the RMS application form
- an additional DVCR certification in RMS prior to submission (delegate certification)

It remains the responsibility of the Administering Organisation to obtain and hold confirmation of participating organisation certification. A template is not required and the ARC’s certification proforma for NCGP schemes may be used if useful.

26. **Will the success rate for this application round be similar to that of the previous round?**

The success rate is determined by the number of applications received and the amount of funding available. Whilst the amount of funding available has not changed for funding commencing in 2022, it is not possible to determine the number of applications that will be submitted and therefore the success rate is unknown.

27. **Would it be beneficial to have multiple institutions listed on a grant application? Which industry partners can be added to a project?**

The number of participants or institutions will not determine the success of an application. All applications are assessed based on the eligibility and assessment criteria outlined in the Grant Guidelines to determine merit and funding decisions. Applications should include the people and organisations most suitable and necessary to undertake the proposed research.

28. **Can university adjuncts who are working in National Security think-thanks (e.g. RAND Corporation, IISS) of which National Security organisations or Defence is a client, be listed as a PI?**

As per Grant Guidelines section 4.17 through to 4.25 a university adjunct can be a PI but can’t be a CI if they work for another organisation.

If the employing organisation has interests pertaining to and/or work for or with Defence or National Security organisations, the university is required to declare and manage the resulting Conflicts of Interest.

29. **As this program is funded by ONI and Defence-NSSTC is there a security classification requirement? Or will outcomes of the research be restricted?**

The NISDRG program is intended to facilitate unclassified research and therefore all outcomes may be published in accordance with the ARC Open Access Policy available on the [ARC Website](#).
30. Can applications with a social sciences or humanities focus, or applications with a mix of STEM and non-STEM participants, be submitted for NISDRG?

Applications must address one or more of the Challenges (Intelligence Challenges or National Security Challenges) and will be assessed on their merits. In the NISRDG program outcomes in the grant guidelines and descriptive text accompanying the Challenges, there are examples of non-STEM research areas, including policy development, communication and information dissemination, and legal/criminal aspects of security measures.

31. If the grant is awarded, how will progress be measured?

Each awarded grantee is required to complete a Key Performance Indicator (KPI) report at the beginning of the project. The targets entered at this stage of the project will be reported against in a six-monthly progress report. In addition, financial acquittals and outreach by ONI and Defence-NSSTC will provide additional insight into the progress of research funded under the NISDRG program.

32. How are the grant opportunities offered under the NISDRG program distinct? It appears the Challenges overlap substantially.

Both grant opportunities offered support excellent fundamental research within the priority research areas identified by the Australian Government. There are two sets of challenges to align research with the different priority research areas for ONI (Intelligence Challenges) and Defence-NSSTC (National Security Challenges). Research proposals may address more than one challenge. If the proposal mostly is about Intelligence – go to NI. If it is about other National Security topics, not centred on Intelligence – they should go to NS.

33. Will including a preprint or comparable resource in any part of my application make me ineligible?

No. The inclusion of preprints will not be considered an eligibility issue and applications will not be excluded by their use. The Grant Guidelines define a Research Output as “all products of a research project” and therefore pre-prints are allowed within the NISDRG scheme.

34. A Technological Readiness Level (TRL) is now required within the application form, do you expect proposed research to have a minimum TRL?

No. The TRL scale is used only to understand the technological readiness level of the proposed research. As the objective of the NISDRG program is to support fundamental research there is no minimum or maximum TRL expectation.

35. Are funding decisions made based solely on application merit, or is the intention to fund a grant in each challenge area?

The ideal scenario is that all challenges are supported through the funded research however, decisions on funded grants is based on merit, the fit with the relevant set of Challenges, the National Intelligence Community and National Security Community’s priority research needs, Australia’s national interest, including national security, applications already funded and available funding.
36. **60% of the assessment criteria is allocated to Project Quality and Benefit.** Can you advise how benefit will be assessed for fundamental research?

The Project Quality and Benefit assessment criteria are detailed in the Grant Guidelines at section 6.3a. All applications, including those with a fundamental research focus, will be assessed against all criteria outlined within this section. Assessment of criteria is undertaken in accordance with the process outlined in the Grant Guidelines. Further information regarding the assessment of applications can be located on the ARC Website.

37. **Do NISDRG applications or awarded grants count towards ARC grant eligibility limits?**

No. The NISDRG Program applications and grants do not impact ARC application or grant eligibility limits.

38. **Does the National Security Challenge ‘Robust Consequence management improving Australia’s responses to, and recovery from, disasters and emergencies,’ only cover a response to an incident?**

Defence-NSSTC interpret this challenge to be inclusive of robust consequence prevention. In addition to the response to an incident or to an event once it has occurred, the challenge is also considered to include upstream prevention of adverse consequences occurring.

39. **How will ‘outreach’ for the NISDRG program be conducted?**

Outreach under the NISDRG program is intended to support the objectives and outcomes of the program including the objective to ‘enhance collaboration in the research, science and technology community’. Outreach will be completed by ONI and Defence-NSSTC to better understand the research, in addition the RGS team will conduct outreach to support administration activities for funded grants.

40. **Where will I find feedback from an unsuccessful application?**

Upon announcement of a scheme, feedback is provided to applicants within RMS. For further information on locating feedback to unsuccessful applicants please see the ‘How to View Outcomes’ page on the ARC website.